

### **TABLE 3. PROGRAMMATIC PERFORMANCE ASSESSMENT CANDIDATES**

**Note:** The activities identified in Table 3 represent quality surveillances that may be performed as part of the *Waste Certification Program (WCP)*. The basis for the Self-Assessment Program is to provide verification that the WCP is being implemented as specified. Activities listed as “As Requested/Required” will be included on each self-assessment schedule. Activities listed “as required” may or may not be included on each self-assessment schedule. The Waste Certification Official may also identify other activities, not listed in Table 3, that qualify as candidates.

**TABLE 3. PROGRAMMATIC PERFORMANCE ASSESSMENT CANDIDATES**

<b>Item Number</b>	<b>Frequency</b>	<b>Self-Assessment Activity</b>
1.	As Requested/ Required	Verify training requirements for personnel involved in the UT-Battelle WCP are in place and up to date.
2.	As Requested/ Required	Verify that non-conforming waste packages are being tagged, segregated, and dispositioned as specified by the appropriate Laboratory Waste Services Organization (LWS) Administrative Guide.
3.	As Requested/ Required	Verify there is a process or mechanism by which the Waste Certification Official is aware of issues identified throughout the ORNL that might affect the waste certification process and this process is being implemented.
4.	As Requested/ Required	Verify that the procurement of waste packaging meets the requirements of the WCP Section 4.5.
5.	As Requested/ Required	Verify that waste generating division(s) are adhering to established WCP protocols to segregate, characterize, and packages waste generated within their divisions.
6.	As Requested/ Required	Verify, by reviewing a sampling, of waste packages throughout UT-Battelle waste generating areas are traceable to the 2109 form set and are controlled to prevent the addition or removal of waste items to the container.
7.	As Requested/ Required	Verify that Table 2 reviews are being completed as required.
8.	As Requested/ Required	Verify there is a process in place, prior to acceptance of waste documentation, that ensures the waste generator(s) have completed the appropriate awareness training.
9.	As Requested/ Required	Verify that the ORNL WCP and/or ORNL Standards-Based Management System (SBMS) addresses all programmatic requirements of the NTS WAC Implementing Crosswalk (NIC). These requirements are addressed in Section 5.0 through 5.10 of the NIC.

<b>Table 3</b> Candidates for the Waste Certification Program (cont.)		
10.	As Requested/ Required	Verify that programmatic requirements identified in the NIC are being implemented (review a biased sampling).
11.	As Requested/ Required	Verify that waste certification quality records are being maintained as specified by the WCP.
12.	As Requested/ Required	Verify that M&TE are being calibrated to standards that are traceable to recognized national standards and this process is controlled within the ORNL).
13.	As Requested/ Required	Verify that programmatic and operational requirements as specified in the SBMS Subject Area: <i>Sanitary/Industrial Waste, Management of</i> , are being followed.
14.	As Requested/ Required	Verify that the UT-Battelle newly generated solid low-level waste “NTS binning” waste profiles meet the NIC requirements as specified in Sections 3.0 Waste Criteria and 4.0 Waste Characterization. Section 6.0 Waste Transportation and Receipt Information will not be reviewed. This Section is specific to Bechtel Jacobs Company, LLC.
15.	As Requested/ Required	Verify that LWS has identified internal errors for waste packages and those are being tracked, trended, and processed as specified in Section 4.2.2 of the WCPP.
16.	As Requested/ Required	Verify if sampling and analysis protocols are being properly implemented when analytical data from the same waste container indicated contradicting analytical results.
17.	As Requested/ Required	Verify that the WCPP Section 10.0, Document Control, is being implemented as required.
18	As Requested/ Required	Additional subjects for <i>WCP Self-Assessment Program</i> will be added as the WCO deems necessary.

**Note:** At the end of each FY, a summary report will be issued. This report will focus on the effectiveness of the *ORNL Waste Certification Program* (WCP) based on the completed Performance Assessments. The report is intended to provide management a summary of issues identified by preceding, the overall effectiveness of the waste characterization and certification process, and suggest areas for improvement.